



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Form PTO/SB/08a

(Use as many sheets as necessary)

| | |
|------------------------|--------------------|
| Application Number | 09/964,883 |
| Filing Date | September 28, 2001 |
| First Named Inventor | John S. HENDRICKS |
| Art Unit | 3714 |
| Examiner Name | Ronald Laneau |
| Attorney Docket Number | 026880.00034 |

Sheet 1 of 13

Office Actions in the related U.S. cases

| Examiner Initials | Cite No. | Date of Office Action | U.S. Application Serial Number | Attorney Docket No. | |
|-------------------|----------|-----------------------|--------------------------------|---------------------|--|
| | 1 | 09/24/1996 | 29/030,157 | 026880-00022 | |
| | 2 | 09/08/2009 | 12/010,045 | 026880-00167 | |
| | 3 | 09/04/2009 | 09/964,883 | 026880-00034 | |
| | 4 | 02/17/2009 | 09/964,883 | 026880-00034 | |
| | 5 | 09/17/2008 | 09/964,883 | 026880-00034 | |
| | 6 | 02/06/2008 | 09/964,883 | 026880-00034 | |
| | 7 | 06/22/2007 | 09/964,883 | 026880-00034 | |
| | 8 | 01/03/2007 | 09/964,883 | 026880-00034 | |
| | 9 | 07/12/2006 | 09/964,883 | 026880-00034 | |
| | 10 | 02/02/2006 | 09/964,883 | 026880-00034 | |
| | 11 | 06/15/2005 | 09/964,883 | 026880-00034 | |
| | 12 | 09/27/2004 | 09/964,883 | 026880-00034 | |
| | 13 | 08/10/2007 | 09/964,882 | 026880-00019 | |
| | 14 | 05/22/2006 | 09/964,882 | 026880-00019 | |
| | 15 | 12/02/2005 | 09/964,882 | 026880-00019 | |
| | 16 | 03/22/2005 | 09/964,882 | 026880-00019 | |
| | 17 | 07/22/2005 | 09/964,880 | 026880-00028 | |
| | 18 | 01/12/2005 | 09/964,880 | 026880-00028 | |
| | 19 | 05/09/2005 | 09/964,857 | 026880-00007 | |
| | 20 | 07/07/2004 | 09/964,857 | 026880-00007 | |
| | 21 | 02/08/2006 | 09/723,282 | 026880-00043 | |
| | 22 | 08/17/2005 | 09/723,282 | 026880-00043 | |
| | 23 | 06/03/2004 | 09/723,282 | 026880-00043 | |
| | 24 | 05/19/2005 | 09/723,280 | 026880-00009 | |
| | 25 | 06/07/2004 | 09/723,280 | 026880-00009 | |
| | 26 | 08/24/2005 | 09/722,823 | 026880-00041 | |
| | 27 | 01/04/2005 | 09/722,823 | 026880-00041 | |
| | 28 | 07/18/2002 | 09/722,744 | 026880-00023 | |
| | 29 | 01/16/2002 | 09/722,744 | 026880-00023 | |
| | 30 | 10/05/2005 | 09/722,743 | 026880-00036 | |
| | 31 | 12/16/2004 | 09/722,743 | 026880-00036 | |
| | 32 | 01/11/2008 | 09/722,742 | 026880-00021 | |
| | 33 | 05/29/2007 | 09/722,742 | 026880-00021 | |
| | 34 | 10/02/2006 | 09/722,742 | 026880-00021 | |
| | 35 | 04/13/2006 | 09/722,742 | 026880-00021 | |
| | 36 | 10/07/2003 | 09/722,742 | 026880-00021 | |
| | 37 | 03/24/2005 | 09/722,741 | 026880-00033 | |
| | 38 | 06/28/2004 | 09/722,741 | 026880-00033 | |
| | 39 | 08/24/2005 | 09/722,740 | 026880-00039 | |
| | 40 | 05/24/2004 | 09/722,740 | 026880-00039 | |
| | 41 | 04/10/2009 | 09/722,626 | 026880-00038 | |
| | 42 | 12/05/2008 | 09/722,626 | 026880-00038 | |
| | 43 | 06/09/2008 | 09/722,626 | 026880-00038 | |

Examiner
Signature

Date
Considered

Substitute for form 1449A/PTO

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Form PTO/SB/08a

(Use as many sheets as necessary)

Application Number 09/964,883
Filing Date September 28, 2001
First Named Inventor John S. HENDRICKS
Art Unit 3714
Examiner Name Ronald Laneau

Sheet 2 of 13 Attorney Docket Number 026880.00034

Office Actions in the related U.S. cases

| Examiner Initials | Cite No. | Date of Office Action | U.S. Application Serial Number | Attorney Docket No. | |
|-------------------|----------|-----------------------|--------------------------------|---------------------|--|
| | 44 | 10/30/2007 | 09/722,626 | 026880-00038 | |
| | 45 | 05/08/2007 | 09/722,626 | 026880-00038 | |
| | 46 | 08/01/2006 | 09/722,626 | 026880-00038 | |
| | 47 | 02/22/2006 | 09/722,626 | 026880-00038 | |
| | 48 | 06/20/2005 | 09/722,626 | 026880-00038 | |
| | 49 | 12/20/2006 | 09/722,519 | 026880-00017 | |
| | 50 | 08/15/2006 | 09/722,519 | 026880-00017 | |
| | 51 | 03/15/2006 | 09/722,519 | 026880-00017 | |
| | 52 | 11/22/2005 | 09/722,519 | 026880-00017 | |
| | 53 | 04/14/2005 | 09/722,519 | 026880-00017 | |
| | 54 | 08/10/2004 | 09/722,519 | 026880-00017 | |
| | 55 | 01/18/2006 | 09/722,463 | 026880-00042 | |
| | 56 | 07/27/2005 | 09/722,463 | 026880-00042 | |
| | 57 | 01/12/2005 | 09/722,463 | 026880-00042 | |
| | 58 | 06/06/2005 | 09/722,462 | 026880-00040 | |
| | 59 | 06/04/2004 | 09/722,462 | 026880-00040 | |
| | 60 | 05/17/2005 | 09/722,460 | 026880-00015 | |
| | 61 | 06/18/2004 | 09/722,460 | 026880-00015 | |
| | 62 | 02/09/2007 | 09/722,456 | 026880-00012 | |
| | 63 | 08/11/2006 | 09/722,456 | 026880-00012 | |
| | 64 | 02/23/2006 | 09/722,456 | 026880-00012 | |
| | 65 | 05/05/2005 | 09/722,456 | 026880-00012 | |
| | 66 | 06/16/2004 | 09/722,456 | 026880-00012 | |
| | 67 | 07/30/2009 | 09/539,773 | 026880-00031 | |
| | 68 | 06/24/2009 | 09/539,773 | 026880-00031 | |
| | 69 | 02/25/2009 | 09/539,773 | 026880-00031 | |
| | 70 | 08/25/2008 | 09/539,773 | 026880-00031 | |
| | 71 | 11/29/2007 | 09/539,773 | 026880-00031 | |
| | 72 | 11/24/2006 | 09/539,773 | 026880-00031 | |
| | 73 | 08/02/2006 | 09/539,773 | 026880-00031 | |
| | 74 | 04/04/2006 | 09/539,773 | 026880-00031 | |
| | 75 | 06/15/2005 | 09/539,773 | 026880-00031 | |
| | 76 | 06/22/2004 | 09/539,773 | 026880-00031 | |
| | 77 | 04/06/2009 | 09/520,344 | 026880-00035 | |
| | 78 | 10/23/2008 | 09/520,344 | 026880-00035 | |
| | 79 | 06/13/2008 | 09/520,344 | 026880-00035 | |
| | 80 | 12/26/2007 | 09/520,344 | 026880-00035 | |
| | 81 | 08/03/2007 | 09/520,344 | 026880-00035 | |
| | 82 | 06/23/2005 | 09/520,344 | 026880-00035 | |
| | 83 | 11/12/2008 | 09/441,892 | 026880-00011 | |
| | 84 | 04/29/2008 | 09/441,892 | 026880-00011 | |
| | 85 | 11/02/2007 | 09/441,892 | 026880-00011 | |
| | 86 | 06/18/2007 | 09/441,892 | 026880-00011 | |

Examiner
Signature

Date
Considered

Substitute for form 1449A/PTO

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
Form PTO/SB/08a**

| | |
|----------------------|--------------------|
| Application Number | 09/964,883 |
| Filing Date | September 28, 2001 |
| First Named Inventor | John S. HENDRICKS |
| Art Unit | 3714 |
| Examiner Name | Ronald Laneau |

(Use as many sheets as necessary)

| | | | | | |
|-------|---|----|----|------------------------|--------------|
| Sheet | 3 | of | 13 | Attorney Docket Number | 026880.00034 |
|-------|---|----|----|------------------------|--------------|

Office Actions in the related U.S. cases

| Examiner Initials | Cite No. | Date of Office Action | U.S. Application Serial Number | Attorney Docket No. | |
|-------------------|----------|-----------------------|--------------------------------|---------------------|--|
| | 87 | 11/24/2006 | 09/441,892 | 026880-00011 | |
| | 88 | 02/03/2006 | 09/441,892 | 026880-00011 | |
| | 89 | 05/17/2005 | 09/441,892 | 026880-00011 | |
| | 90 | 08/24/2004 | 09/441,892 | 026880-00011 | |
| | 91 | 06/19/2009 | 09/427,939 | 026880-00010 | |
| | 92 | 08/16/2007 | 09/427,939 | 026880-00010 | |
| | 93 | 03/29/2007 | 09/427,939 | 026880-00010 | |
| | 94 | 06/07/2006 | 09/427,939 | 026880-00010 | |
| | 95 | 05/20/2005 | 09/427,939 | 026880-00010 | |
| | 96 | 05/28/2009 | 09/427,938 | 026880-00004 | |
| | 97 | 12/03/2008 | 09/427,938 | 026880-00004 | |
| | 98 | 04/18/2008 | 09/427,938 | 026880-00004 | |
| | 99 | 10/19/2007 | 09/427,938 | 026880-00004 | |
| | 100 | 06/15/2007 | 09/427,938 | 026880-00004 | |
| | 101 | 01/31/2007 | 09/427,938 | 026880-00004 | |
| | 102 | 06/07/2006 | 09/427,938 | 026880-00004 | |
| | 103 | 04/25/2005 | 09/427,938 | 026880-00004 | |
| | 104 | 12/30/2005 | 09/400,296 | 026880-00018 | |
| | 105 | 04/08/2005 | 09/400,296 | 026880-00018 | |
| | 106 | 06/25/2009 | 09/391,461 | 026880-00032 | |
| | 107 | 01/07/2009 | 09/391,461 | 026880-00032 | |
| | 108 | 07/03/2008 | 09/391,461 | 026880-00032 | |
| | 109 | 12/13/2007 | 09/391,461 | 026880-00032 | |
| | 110 | 06/22/2007 | 09/391,461 | 026880-00032 | |
| | 111 | 11/17/2006 | 09/391,461 | 026880-00032 | |
| | 112 | 03/28/2006 | 09/391,461 | 026880-00032 | |
| | 113 | 06/15/2005 | 09/391,461 | 026880-00032 | |
| | 114 | 06/30/2004 | 09/391,461 | 026880-00032 | |
| | 115 | 08/10/2009 | 09/344,499 | 026880-00014 | |
| | 116 | 02/18/2009 | 09/344,499 | 026880-00014 | |
| | 117 | 02/08/2008 | 09/344,499 | 026880-00014 | |
| | 118 | 06/14/2007 | 09/344,499 | 026880-00014 | |
| | 119 | 12/15/2006 | 09/344,499 | 026880-00014 | |
| | 120 | 06/30/2006 | 09/344,499 | 026880-00014 | |
| | 121 | 05/06/2005 | 09/344,499 | 026880-00014 | |
| | 122 | 07/13/2009 | 09/344,492 | 026880-00029 | |
| | 123 | 01/23/2009 | 09/344,492 | 026880-00029 | |
| | 124 | 08/05/2008 | 09/344,492 | 026880-00029 | |
| | 125 | 01/23/2008 | 09/344,492 | 026880-00029 | |
| | 126 | 07/11/2007 | 09/344,492 | 026880-00029 | |
| | 127 | 12/19/2006 | 09/344,492 | 026880-00029 | |
| | 128 | 06/26/2006 | 09/344,492 | 026880-00029 | |
| | 129 | 01/24/2006 | 09/344,492 | 026880-00029 | |

Examiner
Signature

Date
Considered

Substitute for form 1449A/PTO

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
Form PTO/SB/08a**

| | |
|------------------------|--------------------|
| Application Number | 09/964,883 |
| Filing Date | September 28, 2001 |
| First Named Inventor | John S. HENDRICKS |
| Art Unit | 3714 |
| Examiner Name | Ronald Laneau |
| Attorney Docket Number | 026880.00034 |

(Use as many sheets as necessary)

Sheet **4** of **13**

Office Actions in the related U.S. cases

| Examiner Initials | Cite No. | Date of Office Action | U.S. Application Serial Number | Attorney Docket No. | |
|-------------------|----------|-----------------------|--------------------------------|---------------------|--|
| | 130 | 06/06/2005 | 09/344,492 | 026880-00029 | |
| | 131 | 01/22/2009 | 09/335,268 | 026880-00020 | |
| | 132 | 07/09/2008 | 09/335,268 | 026880-00020 | |
| | 133 | 01/10/2008 | 09/335,268 | 026880-00020 | |
| | 134 | 05/17/2007 | 09/335,268 | 026880-00020 | |
| | 135 | 10/02/2006 | 09/335,268 | 026880-00020 | |
| | 136 | 04/13/2006 | 09/335,268 | 026880-00020 | |
| | 137 | 10/28/2005 | 09/335,268 | 026880-00020 | |
| | 138 | 02/24/2005 | 09/335,268 | 026880-00020 | |
| | 139 | 02/19/2009 | 09/328,672 | 026880-00026 | |
| | 140 | 06/16/2004 | 09/328,672 | 026880-00026 | |
| | 141 | 07/07/2009 | 09/289,958 | 026880-00025 | |
| | 142 | 12/24/2008 | 09/289,958 | 026880-00025 | |
| | 143 | 07/29/2008 | 09/289,958 | 026880-00025 | |
| | 144 | 01/18/2008 | 09/289,958 | 026880-00025 | |
| | 145 | 07/31/2007 | 09/289,958 | 026880-00025 | |
| | 146 | 03/23/2007 | 09/289,958 | 026880-00025 | |
| | 147 | 06/06/2006 | 09/289,958 | 026880-00025 | |
| | 148 | 01/03/2005 | 09/289,958 | 026880-00025 | |
| | 149 | 07/22/2009 | 09/289,957 | 026880-00024 | |
| | 150 | 11/18/2008 | 09/289,957 | 026880-00024 | |
| | 151 | 03/25/2008 | 09/289,957 | 026880-00024 | |
| | 152 | 07/06/2007 | 09/289,957 | 026880-00024 | |
| | 153 | 10/11/2006 | 09/289,957 | 026880-00024 | |
| | 154 | 04/21/2006 | 09/289,957 | 026880-00024 | |
| | 155 | 11/03/2005 | 09/289,957 | 026880-00024 | |
| | 156 | 11/04/2004 | 09/289,957 | 026880-00024 | |
| | 157 | 09/09/2009 | 09/289,956 | 026880-00037 | |
| | 158 | 03/17/2009 | 09/289,956 | 026880-00037 | |
| | 159 | 11/13/2008 | 09/289,956 | 026880-00037 | |
| | 160 | 06/12/2008 | 09/289,956 | 026880-00037 | |
| | 161 | 03/22/2007 | 09/289,956 | 026880-00037 | |
| | 162 | 07/24/2006 | 09/289,956 | 026880-00037 | |
| | 163 | 01/30/2006 | 09/289,956 | 026880-00037 | |
| | 164 | 06/23/2005 | 09/289,956 | 026880-00037 | |
| | 165 | 10/03/2007 | 09/237,828 | 026880-00008 | |
| | 166 | 03/05/2007 | 09/237,828 | 026880-00008 | |
| | 167 | 09/08/2006 | 09/237,828 | 026880-00008 | |
| | 168 | 05/12/2005 | 09/237,828 | 026880-00008 | |
| | 169 | 08/25/2004 | 09/237,828 | 026880-00008 | |
| | 170 | 08/28/2009 | 09/237,827 | 026880-00013 | |
| | 171 | 03/02/2009 | 09/237,827 | 026880-00013 | |
| | 172 | 08/18/2008 | 09/237,827 | 026880-00013 | |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

Substitute for form 1449A/PTO

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
Form PTO/SB/08a**

(Use as many sheets as necessary)

Application Number 09/964,883
Filing Date September 28, 2001
First Named Inventor John S. HENDRICKS
Art Unit 3714
Examiner Name Ronald Laneau

Sheet 5 of 13 Attorney Docket Number 026880.00034

Office Actions in the related U.S. cases

| Examiner Initials | Cite No. | Date of Office Action | U.S. Application Serial Number | Attorney Docket No. | |
|-------------------|----------|-----------------------|--------------------------------|---------------------|--|
| | 173 | 01/09/2008 | 09/237,827 | 026880-00013 | |
| | 174 | 07/23/2007 | 09/237,827 | 026880-00013 | |
| | 175 | 05/16/2007 | 09/237,827 | 026880-00013 | |
| | 176 | 01/12/2007 | 09/237,827 | 026880-00013 | |
| | 177 | 08/02/2006 | 09/237,827 | 026880-00013 | |
| | 178 | 10/19/2005 | 09/237,827 | 026880-00013 | |
| | 179 | 01/26/2005 | 09/237,827 | 026880-00013 | |
| | 180 | 08/03/2009 | 09/237,826 | 026880-00016 | |
| | 181 | 02/13/2009 | 09/237,826 | 026880-00016 | |
| | 182 | 08/15/2008 | 09/237,826 | 026880-00016 | |
| | 183 | 12/07/2007 | 09/237,826 | 026880-00016 | |
| | 184 | 06/27/2007 | 09/237,826 | 026880-00016 | |
| | 185 | 12/19/2006 | 09/237,826 | 026880-00016 | |
| | 186 | 06/14/2006 | 09/237,826 | 026880-00016 | |
| | 187 | 12/06/2005 | 09/237,826 | 026880-00016 | |
| | 188 | 06/06/2005 | 09/237,826 | 026880-00016 | |
| | 189 | 05/25/2004 | 09/237,826 | 026880-00016 | |
| | 190 | 06/15/2005 | 09/237,825 | 026880-00030 | |
| | 191 | 06/04/2004 | 09/237,825 | 026880-00030 | |
| | 192 | 05/12/1998 | 08/336,247 | 026880-00027 | |
| | 193 | 07/24/1997 | 08/336,247 | 026880-00027 | |
| | 194 | 04/29/1997 | 08/336,247 | 026880-00027 | |
| | 195 | 08/28/1996 | 08/336,247 | 026880-00027 | |
| | 196 | 06/27/1996 | 08/336,247 | 026880-00027 | |
| | 197 | 11/01/1995 | 08/336,247 | 026880-00027 | |

Examiner
Signature

Date
Considered

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/

| | | | | | | |
|--|---|----|----------------------|------------------------|--------------------|--------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a (Use as many sheets as necessary) | | | Application Number | | 09/964,883 | |
| | | | Filing Date | | September 28, 2001 | |
| | | | First Named Inventor | | John S. HENDRICKS | |
| | | | Art Unit | | 3714 | |
| | | | Examiner Name | | Ronald Laneau | |
| Sheet | 6 | of | 13 | Attorney Docket Number | | 026880.00034 |

Office Actions in the related Foreign cases

| Examiner Initials | Cite No. | Date of Office Action | Application Number | Country | Attorney Docket No. |
|-------------------|----------|---|--------------------|---------|---------------------|
| | 198 | IPER dated 03/06/1996 for PCT/US94/13808 | PCT/US94/13808 | PCT | 026880-00144 |
| | 199 | Written Opinion dated 11/16/1995 for PCT/US94/13808 | PCT/US94/13808 | PCT | 026880-00144 |
| | 200 | ISR dated 04/05/1995 for PCT/US94/13808 | PCT/US94/13808 | PCT | 026880-00144 |
| | 201 | ISR dated 02/21/2002 for PCT/US01/10559 | PCT/US01/10559 | PCT | 026880-00133 |
| | 202 | IPER dated 04/11/2002 for PCT/US01/04946 | PCT/US01/04946 | PCT | 026880-00134 |
| | 203 | Written Opinion dated 12/04/2001 for PCT/US01/04946 | PCT/US01/04946 | PCT | 026880-00134 |
| | 204 | ISR dated 10/16/2001 for PCT/US01/04946 | PCT/US01/04946 | PCT | 026880-00134 |
| | 205 | Partial ISR dated 11/18/2002 for PCT/US00/29813 | PCT/US00/29813 | PCT | 026880-00130 |
| | 206 | IPER dated 10/18/2001 for PCT/US00/09542 | PCT/US00/09542 | PCT | 026880-00131 |
| | 207 | Written Opinion dated 06/19/2001 for PCT/US00/01708 | PCT/US00/01708 | PCT | 026880-00142 |
| | 208 | ISR dated 06/26/2000 for PCT/US00/01708 | PCT/US00/01708 | PCT | 026880-00142 |
| | 209 | 05/08/1998 | 95904787.9 | EP | 026880-00072 |
| | 210 | 12/07/2004 | 515760/95 | JP | 026880-00150 |
| | 211 | 04/13/2004 | 515760/95 | JP | 026880-00150 |
| | 212 | 01/30/2009 | 2459780 | CA | 026880-00071 |
| | 213 | 11/16/2007 | 2459780 | CA | 026880-00071 |
| | 214 | 01/30/2009 | 2459779 | CA | 026880-00070 |
| | 215 | 11/21/2007 | 2459779 | CA | 026880-00070 |
| | 216 | 06/18/2007 | 2459529 | CA | 026880-00108 |
| | 217 | 10/20/2004 | 2459528 | CA | 026880-00107 |
| | 218 | 05/01/2008 | 2459063 | CA | 026880-00097 |
| | 219 | 12/13/2006 | 2459063 | CA | 026880-00097 |
| | 220 | 03/10/2006 | 2459063 | CA | 026880-00097 |
| | 221 | 04/22/2009 | 2459059 | CA | 026880-00106 |
| | 222 | 03/28/2008 | 2459059 | CA | 026880-00106 |
| | 223 | 05/17/2007 | 2459059 | CA | 026880-00106 |
| | 224 | 06/26/2007 | 2459048 | CA | 026880-00098 |
| | 225 | 10/04/2006 | 2459048 | CA | 026880-00098 |
| | 226 | 12/20/2004 | 2458564 | CA | 026880-00096 |
| | 227 | 05/14/2004 | 2458564 | CA | 026880-00096 |
| | 228 | 06/21/2007 | 2458379 | CA | 026880-00069 |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
Form PTO/SB/08a**

(Use as many sheets as necessary)

Application Number 09/964,883
Filing Date September 28, 2001
First Named Inventor John S. HENDRICKS
Art Unit 3714
Examiner Name Ronald Laneau

Sheet 7 of 13 Attorney Docket Number 026880.00034

Office Actions in the related Foreign cases

| Examiner Initials | Cite No. | Date of Office Action | Application Number | Country | Attorney Docket No. |
|-------------------|----------|---|--------------------|---------|---------------------|
| | 229 | 11/05/2007 | 2458355 | CA | 026880-00102 |
| | 230 | 11/10/2006 | 2458355 | CA | 026880-00102 |
| | 231 | 11/25/2005 | 2458355 | CA | 026880-00102 |
| | 232 | 04/12/2005 | 2458346 | CA | 026880-00068 |
| | 233 | 05/12/2004 | 2458346 | CA | 026880-00068 |
| | 234 | 01/24/2007 | 2458337 | CA | 026880-00099 |
| | 235 | 12/28/2005 | 2458337 | CA | 026880-00099 |
| | 236 | 12/06/2004 | 2458337 | CA | 026880-00099 |
| | 237 | 05/06/2008 | 2458317 | CA | 026880-00067 |
| | 238 | 11/10/2006 | 2458317 | CA | 026880-00067 |
| | 239 | 03/13/2006 | 2458317 | CA | 026880-00067 |
| | 240 | 02/16/2009 | 2458307 | CA | 026880-00103 |
| | 241 | 10/31/2007 | 2458307 | CA | 026880-00103 |
| | 242 | 10/03/2006 | 2458258 | CA | 026880-00105 |
| | 243 | ISR dated 02/04/2003 for PCT/US00/29813 | 2388649 | CA | 026880-00005 |
| | 244 | IPER dated 01/25/2002 for PCT/US00/29813 | 2388649 | CA | 026880-00005 |
| | 245 | Written Opinion dated 09/05/2001 for PCT/US00/29813 | 2388649 | CA | 026880-00005 |
| | 246 | ISR dated 02/21/2003 for PCT/US00/29809 | 2388648 | CA | 026880-00003 |
| | 247 | IPER dated 02/11/2002 for PCT/US00/29809 | 2388648 | CA | 026880-00003 |
| | 248 | Written Opinion dated 09/05/2001 for PCT/US00/29809 | 2388648 | CA | 026880-00003 |
| | 249 | 02/12/2009 | 2385097 | CA | 026880-00065 |
| | 250 | IPER dated 03/25/2002 for PCT/US00/25826 | 2385097 | CA | 026880-00065 |
| | 251 | Written Opinion dated 11/02/2001 for PCT/US00/25826 | 2385097 | CA | 026880-00065 |
| | 252 | ISR dated 06/22/2001 for PCT/US00/25826 | 2385097 | CA | 026880-00065 |
| | 253 | Written Opinion dated 05/29/2001 for PCT/US00/09542 | 2371379 | CA | 026880-00061 |
| | 254 | ISR dated 11/02/2000 for PCT/US00/09542 | 2371379 | CA | 026880-00061 |
| | 255 | 04/30/2009 | 2369779 | CA | 026880-00060 |
| | 256 | ISR dated 11/02/2000 for PCT/US00/09541 | 2369759 | CA | 026880-00059 |
| | 257 | 04/09/2009 | 2361371 | CA | 026880-00058 |
| | 258 | 10/17/2007 | 2361371 | CA | 026880-00058 |
| | 259 | 07/06/2006 | 2361371 | CA | 026880-00058 |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

Substitute for form 1449A/PTO

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
Form PTO/SB/08a**

(Use as many sheets as necessary)

Application Number 09/964,883
Filing Date September 28, 2001
First Named Inventor John S. HENDRICKS
Art Unit 3714
Examiner Name Ronald Laneau

Sheet 8 of 13 Attorney Docket Number 026880.00034

Office Actions in the related Foreign cases

| Examiner Initials | Cite No. | Date of Office Action | Application Number | Country | Attorney Docket No. |
|-------------------|----------|---|--------------------|---------|---------------------|
| | 260 | 09/10/2008 | 2359690 | CA | 026880-00057 |
| | 261 | 03/29/2007 | 2002/002984 | MX | 026880-00112 |
| | 262 | 09/11/2008 | 2002/002555 | MX | 026880-00111 |
| | 263 | 01/25/2007 | 2002/002555 | MX | 026880-00111 |
| | 264 | 02/12/2007 | 2002/000197 | MX | 026880-00116 |
| | 265 | IPER dated 02/14/2002 for PCT/US00/31740 | 2001-539201 | JP | 026880-00127 |
| | 266 | Written Opinion dated 10/19/2001 for PCT/US00/31740 | 2001-539201 | JP | 026880-00127 |
| | 267 | ISR dated 09/18/2001 for PCT/US00/31740 | 2001-539201 | JP | 026880-00127 |
| | 268 | IPER dated 08/22/2003 for PCT/US00/17266 | 2001-506518 | JP | 026880-00126 |
| | 269 | Written Opinion dated 01/31/2003 for PCT/US00/17266 | 2001-506518 | JP | 026880-00126 |
| | 270 | ISR dated 09/21/2001 for PCT/US00/15810 | 2001-502044 | JP | 026880-00128 |
| | 271 | 11/19/2008 | 2001/010300 | MX | 026880-00120 |
| | 272 | 06/19/2008 | 2001/010300 | MX | 026880-00120 |
| | 273 | 09/10/2004 | 2001/010300 | MX | 026880-00120 |
| | 274 | 09/26/2008 | 2001/010298 | MX | 026880-00119 |
| | 275 | 11/11/2006 | 2001/010298 | MX | 026880-00119 |
| | 276 | 04/28/2005 | 2001/010298 | MX | 026880-00119 |
| | 277 | 03/11/2009 | 2001/007581 | MX | 026880-00118 |
| | 278 | 07/12/2006 | 2001/007581 | MX | 026880-00118 |
| | 279 | 03/11/2009 | 2001/007580 | MX | 026880-00117 |
| | 280 | 06/30/2008 | 2001/007580 | MX | 026880-00117 |
| | 281 | 02/14/2008 | 2001/007580 | MX | 026880-00117 |
| | 282 | 07/28/2004 | 00974006.9 | EP | 026880-00090 |
| | 283 | 06/01/2006 | 00974003.6 | EP | 026880-00049 |
| | 284 | ESR dated 10/04/2005 | 00974003.6 | EP | 026880-00049 |
| | 285 | 07/28/2004 | 00974003.6 | EP | 026880-00049 |
| | 286 | 07/11/2005 | 00968375.6 | EP | 026880-00094 |
| | 287 | 03/30/2004 | 00968375.6 | EP | 026880-00094 |
| | 288 | 10/24/2007 | 00960077.6 | EP | 026880-00048 |
| | 289 | IPER dated 02/13/2002 for PCT/US00/24819 | 00960077.6 | EP | 026880-00048 |
| | 290 | Written Opinion dated 08/13/2001 for PCT/US00/24819 | 00960077.6 | EP | 026880-00048 |
| | 291 | ISR dated 12/07/2000 for PCT/US00/24819 | 00960077.6 | EP | 026880-00048 |
| | 292 | 12/04/2006 | 00943086.9 | EP | 026880-00087 |
| | 293 | ISR dated 01/18/2001 for PCT/US00/17266 | 00943086.9 | EP | 026880-00087 |

Examiner Signature

Date Considered

Substitute for form 1449A/PTO

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/

TECH7732601

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
Form PTO/SB/08a**

(Use as many sheets as necessary)

| | |
|----------------------|--------------------|
| Application Number | 09/964,883 |
| Filing Date | September 28, 2001 |
| First Named Inventor | John S. HENDRICKS |
| Art Unit | 3714 |
| Examiner Name | Ronald Laneau |

| | | | | | |
|-------|---|----|----|------------------------|--------------|
| Sheet | 9 | of | 13 | Attorney Docket Number | 026880.00034 |
|-------|---|----|----|------------------------|--------------|

Office Actions in the related Foreign cases

| Examiner Initials | Cite No. | Date of Office Action | Application Number | Country | Attorney Docket No. |
|-------------------|----------|---|--------------------|---------|---------------------|
| | 294 | IPER dated 09/08/2001 for PCT/US00/15810 | 00941287.5 | EP | 026880-00085 |
| | 295 | 04/27/2007 | 00925915.1 | EP | 026880-00047 |
| | 296 | IPER dated 01/02/2002 for PCT/US00/09541 | 00925915.1 | EP | 026880-00047 |
| | 297 | Written Opinion dated 08/13/2001 for PCT/US00/09541 | 00925915.1 | EP | 026880-00047 |
| | 298 | 05/30/2005 | 00923211.7 | EP | 026880-00046 |
| | 299 | IPER dated 02/14/2001 for PCT/US00/09543 | 00923211.7 | EP | 026880-00046 |
| | 300 | ISR dated 10/24/2000 for PCT/US00/09543 | 00923211.7 | EP | 026880-00046 |
| | 301 | 06/08/2005 | 00923210.9 | EP | 026880-00092 |
| | 302 | 06/23/2006 | 00909966.4 | EP | 026880-00045 |
| | 303 | IPER dated 11/01/2001 for PCT/US00/01708 | 00909966.4 | EP | 026880-00045 |
| | 304 | 10/13/2006 | 00909958.1 | EP | 026880-00044 |
| | 305 | 09/06/2006 | 00909958.1 | EP | 026880-00044 |
| | 306 | 07/27/2005 | 00909958.1 | EP | 026880-00044 |
| | 307 | 05/14/2004 | 00909958.1 | EP | 026880-00044 |
| | 308 | IPER dated 02/02/2001 for PCT/US00/01625 | 00909958.1 | EP | 026880-00044 |
| | 309 | ISR dated 07/24/2000 for PCT/US00/01625 | 00909958.1 | EP | 026880-00044 |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Form PTO/SB/08a

(Use as many sheets as necessary)

| | |
|------------------------|--------------------|
| Application Number | 09/964,883 |
| Filing Date | September 28, 2001 |
| First Named Inventor | John S. HENDRICKS |
| Art Unit | 3714 |
| Examiner Name | Ronald Laneau |
| Attorney Docket Number | 026880.00034 |

| | | | |
|-------|----|----|----|
| Sheet | 10 | of | 13 |
|-------|----|----|----|

U.S. Patent Documents

| Examiner Initials | Cite No. | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-------------------|----------|-----------------|-----------------------------|---|---|
| | 310 | US 7350704 | 04/01/2008 | Barsness; Eric L. | |
| | 311 | US 7181468 | 02/20/2007 | Spring; Leslie | |
| | 312 | US 7020663 | 03/28/2006 | Hay; George M. | |
| | 313 | US 6976028 | 12/13/2005 | Fenton; Nicholas W. | |
| | 314 | US 6654754 | 11/25/2003 | Knauff; Christopher L. | |
| | 315 | US 6597314 | 07/22/2003 | Beezer; John L. | |
| | 316 | US 6585776 | 07/01/2003 | Bates, Cary L. | |
| | 317 | US 6301584 | 10/09/2001 | Ranger; Denis | |
| | 318 | US 6252876 | 06/26/2001 | Brueckheimer; Simon D. | |
| | 319 | US 6173335 | 01/09/2001 | Culbert; Daniel J. | |
| | 320 | US 6009401 | 12/28/1999 | Horstmann; Cay S. | |
| | 321 | US 5991594 | 11/23/1999 | Froeber; Helmut | |
| | 322 | US 5923656 | 07/13/1999 | Duan; Haoran | |
| | 323 | US 5884288 | 03/16/1999 | Chang; Sheueling | |
| | 324 | US 5796954 | 08/18/1998 | Hanif; Mohammad | |
| | 325 | US 5790935 | 08/04/1998 | Payton; David W. | |
| | 326 | US 5786521 | 07/28/1998 | Darsow; Gerhard | |
| | 327 | US 5784565 | 07/21/1998 | Lewine; Donald A. | |
| | 328 | US 5761681 | 06/02/1998 | Huffman; James R. | |
| | 329 | US 5760771 | 06/02/1998 | Blonder; Greg E. | |
| | 330 | US 5749735 | 05/12/1998 | Redford; Peter M. | |
| | 331 | US 5715515 | 02/03/1998 | Akins, III. | |
| | 332 | US 5708960 | 01/13/1998 | Kamisaka; Tadayuki | |
| | 333 | US 5682206 | 10/28/1997 | Wehmeyer; Keith R. | |
| | 334 | US 5663748 | 09/02/1997 | Huffman; James R. | |
| | 335 | US 5638443 | 06/10/1997 | Stefik; Mark J. | |
| | 336 | US 5598279 | 01/28/1997 | Ishii; Rie | |
| | 337 | US 5572652 | 11/05/1996 | Robusto; John D. | |
| | 338 | US 5555441 | 09/10/1996 | Haddad; Joseph C. | |
| | 339 | US 5538430 | 07/23/1996 | Smith; B. Gary | |
| | 340 | US 5531600 | 07/02/1996 | Baer; Ralph H. | |
| | 341 | US 5530235 | 06/25/1996 | Stefik; Mark J. | |
| | 342 | US 5485176 | 01/16/1996 | Ohara; Makoto | |
| | 343 | US 5475682 | 12/12/1995 | Choudhury; Abhijit K. | |
| | 344 | US 5453796 | 09/26/1995 | Duffield; David J. | |
| | 345 | US 5425102 | 06/13/1995 | Moy; Michael E. | |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/

| | | | | | | |
|---|----|----|----------------------|------------------------|--------------------|--------------|
| *Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a (Use as many sheets as necessary) | | | Application Number | | 09/964,883 | |
| | | | Filing Date | | September 28, 2001 | |
| | | | First Named Inventor | | John S. HENDRICKS | |
| | | | Art Unit | | 3714 | |
| | | | Examiner Name | | Ronald Laneau | |
| Sheet | 11 | of | 13 | Attorney Docket Number | | 026880.00034 |

U.S. Patent Documents

| Examiner Initials | Cite No. | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-------------------|----------|-----------------|--------------------------------|--|---|
| | 346 | US 5425102 | 06/13/1995 | Moy, Michael E. | |
| | 347 | US 5418957 | 05/23/1995 | Narayan; Rom | |
| | 348 | US 5392387 | 02/21/1995 | Fitzpatrick; Gregory P. | |
| | 349 | US 5256067 | 10/26/1993 | Gildea; Patricia M. | |
| | 350 | US 5231631 | 07/27/1993 | Buhrke; Rolfe E. | |
| | 351 | US 5157783 | 10/20/1992 | Anderson; Brian P. | |
| | 352 | US 5049862 | 09/17/1991 | Dao; James | |
| | 353 | US 4899292 | 02/06/1990 | Montagna; John A. | |
| | 354 | US 4890321 | 12/26/1989 | Seth-Smith; Nigel | |
| | 355 | US 4866770 | 09/12/1989 | Seth-Smith; Nigel | |
| | 356 | US 4854878 | 08/08/1989 | Malvino; Albert P. | |
| | 357 | US 4179212 | 12/18/1979 | Lahr; Roy J. | |
| | 358 | US 4160242 | 07/03/1979 | Fowler; Gerald C. | |
| | 359 | US 4159417 | 06/26/1979 | Rubincam; David P. | |
| | 360 | US 2005/0144133 | 06/30/2005 | Hoffman; Ned | |
| | 361 | US 2002/0087555 | 07/04/2002 | Murata; Yoshiyuki | |
| | 362 | US 2002/0034296 | 03/21/2002 | Yoshimune; Toshiya | |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/

| | | | | | |
|---|----|----|----------------------|------------------------|--------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a (Use as many sheets as necessary) | | | Application Number | | 09/964,883 |
| | | | Filing Date | | September 28, 2001 |
| | | | First Named Inventor | | John S. HENDRICKS |
| | | | Art Unit | | 3714 |
| | | | Examiner Name | | Ronald Laneau |
| Sheet | 12 | of | 13 | Attorney Docket Number | 026880.00034 |

Foreign Patent Documents

| Examiner Initials | Cite No. | Foreign Patent Document | | Publication Date MM-DD- YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|----------------------|-------------|-------------------------|--------------------------------|---------------------------------------|--|---|
| | | Country Code | Number Kind Code (if known) | | | |
| | 363 | WO | 94/01964 | 01/20/1994 | BELL ATLANTIC NETWORK SERVICES, INC. | |
| | 364 | WO | 93/09631 | 05/13/1993 | FINISAR CORPORATION | |
| | 365 | WO | 93/09490 | 05/13/1993 | VPR SYSTEMS LTD. | |
| | 366 | WO | 93/06692 | 04/01/1993 | BELL ATLANTIC NETWORK SERVICES, INC. | |
| | 367 | WO | 91/15841 | 10/17/1991 | TOWER TECH S.R.L. | |
| | 368 | WO | 84/00237 | 01/19/1984 | WESTERN ELECTRIC COMPANY, INC. | |
| | 369 | WO | 83/03020 | 09/01/1983 | Edelson, Steven, D. | |
| | 370 | JP | 05236437 | 09/10/1993 | PIONEER ELECTRON CORP | |
| | 371 | JP | 05056405 | 03/05/1993 | FUJITSU GENERAL LTD | |
| | 372 | GB | 2149544 | 06/12/1985 | STANDAR TELEPHONES AND CABLES PUBLIC LTD COMPANY | |
| | 373 | EP | 0726535 | 05/21/2003 | NEC CORPORATION | |
| | 374 | EP | 0701220 | 07/04/2001 | ADOBE SYSTEMS INC | |
| | 375 | EP | 0372384 | 05/07/1997 | MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD | |
| | 376 | CA | 2257659 | 11/25/1993 | Nadan, Joseph S. | |
| | 377 | CA | 2177154 | 06/08/1995 | Hendricks, John S. | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/

| | | | | | | | |
|--|----|----|----|------------------------|--|--------------------|--|
| Substitute for form 1449A/PTO | | | | Application Number | | 09/964,883 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Filing Date | | September 28, 2001 | |
| | | | | First Named Inventor | | John S. HENDRICKS | |
| | | | | Art Unit | | 3714 | |
| | | | | Examiner Name | | Ronald Laneau | |
| (Use as many sheets as necessary) | | | | Attorney Docket Number | | 026880.00034 | |
| Sheet | 13 | of | 13 | | | | |

Non-Patent Literature Documents

| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) , date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-------------------|----------|--|----------------|
| | 378 | Tan, Q. et al., "A brief overview of current TV set-top box developments", Systems, Man, and Cybernetics, 1996, IEEE International Conference on: 14-17 Oct. 1996, 2127-2132 | |
| | 379 | Perry, T.S., "Consumer electronics", Spectrum, IEEE, Jan. 1997, Vol. 34, No. 1, 43-48 | |
| | 380 | The Internet Protocol Journal, Dec. 1998, Vol. 3, No. 1, http://www.noc.garr.it/docum/journal/ipj_dic_98.pdf | |
| | 381 | T.P. David, "Networking requirements and solutions for a TV WWW Browser" 1997 from http://scholar.lib.vt.edu/theses/public/etd-82497-16476/materials/etd.pdf | |
| | 382 | Atzori, L. et al., "Multimedia information broadcasting using digital TV channels", Broadcasting, IEEE Transactions on, Dec. 1997, Vol. 43, No. 4, 383-392 | |
| | 383 | Encryption. Free On-line dictionary of computing: http://foldoc.doc.ic.ac.uk/foldoc/2003-04-12 | |
| | 384 | Encode. Free On-line dictionary of computing: http://foldoc.doc.ic.ac.uk/foldoc/1999-07-06 | |
| | 385 | The project Gutenberg entitled: "The Bible: Old and New Testaments, King James Version by Anonymous", released on Aug. 1, 1989 | |
| | 386 | "OPTICAL DISKS' MAY BE BOOKS OF THE FUTURE", Steven Kosek and Dennis Lynch; Chicago Tribune, September 15, 1985, page 31 | |
| | 387 | "Transmission Control Protocol; Request for Comment 793", September 1981, pages 1-85 | |

| | | | |
|--------------------|-----------------|-----------------|------------|
| Examiner Signature | /Ronald Laneau/ | Date Considered | 04/02/2010 |
|--------------------|-----------------|-----------------|------------|

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RL/